

Gary S. Prettyman Senior Director - Regulatory Business 461 From Road, Suite 400 Paramus, NJ 07652 tel 201-784-7083 fax 201-750-5728 email Gary.Prettyman@suez-na.com

## VIA ELECTRONIC FILING

October 14, 2016

Hon. Kathleen H. Burgess Secretary to the Commission NYS Department of Public Service 3 Empire State Plaza Albany, NY 12223-1350

RE: Corporate Name Change United Water Owego-Nichols, Inc. to SUEZ Water Owego-Nichols, Inc.

Dear Secretary Burgess:

The enclosed P.S.C. No. 1 – Water, effective November 14, 2016, is transmitted for filing. Pursuant to our letter to you dated November 9, 2015 (copy enclosed) United Water Owego-Nichols, Inc. changed its corporate name to SUEZ Water Owego-Nichols. P.S.C. No. 1 – Water is being filed as a name change only and there have been no changes made to rates, terms and conditions.

Statement DRS has been removed from this tariff as it is no longer applicable.

The attached letter from November 9, 2015 describes how the New York Operating Utilities have notified their customers of the names change.

Respectfully submitted,

Gary S. Prettyman-

Senior Director - Regulatory Business

Enclosures (2)

CC:

Joseph Dowling, Esq.

Vicky Chalanick, PSC (via e-mail)



Gary S. Prettyman Senior Director - Regulatory Business 461 From Road, Suite 400 Paramus, NJ 07652 tel 201-784-7083 fax 201-750-5728 email Gary.Prettyman@unitedwater.com

November 9, 2015

Hon. Kathleen H. Burgess
Secretary to the Commission
New York State Public Service Commission
Empire State Plaza
Agency Building 3
Albany, NY 12223-1350

Re: Corporate Name Change of the United Water Inc. Companies

Dear Secretary Burgess:

SUEZ Group ("SUEZ") is the ultimate corporate parent of United Water Inc. and the following public utility subsidiaries providing service in New York: United Water New York Inc., United Water Westchester Inc., and United Water Owego-Nichols Inc. (together, the "New York Operating Utilities"). SUEZ is a global enterprise with over 80,000 employees operating in 70 countries under 50 different brands. Earlier this year, SUEZ announced that it intended to operate its various businesses under a single brand: SUEZ. Consistent with that effort, the New York Operating Utilities are undergoing name changes. The purpose of this letter is to advise the Public Service Commission (the "PSC") that the name changes set out below became effective on November 9, 2015:

#### **COMPANY NAME**

## **NEW COMPANY NAME**

United Water New York Inc.

SUEZ Water New York Inc.

United Water Westchester Inc.

SUEZ Water Westchester Inc.

United Water Owego-Nichols Inc.

SUEZ Water Owego-Nichols Inc.

Corresponding changes will be made to bills, signage, vehicles and uniforms during the remainder of this year and early next year.

The New York Operating Utilities will notify their customers of the name change through an outreach program beginning in early November, which will employ digital and print advertising, bill inserts, website and social media postings, and outdoor advertising. The name changes will have no impact on the New York Operating Utilities' customers, who will continue to receive water service under the same rates, terms and conditions as are currently in effect.

Hon. Kathleen H. Burgess November 9, 2015 Page 2

Finally, so that the PSC's records accurately reflect the name changes of the New York Operating Utilities, enclosed are copies of various materials filed with, and received from, the New York Secretary of State effectuating the name change. Additionally, the New York Operating Utilities also attach a copy of the notice it will place in newspapers once a week for four weeks beginning the week of November 16, 2015, copy of Supplement's notice of the name change, Adoption Notice 1, and a copy of the bill insert that will go to customers. Please feel free to contact me if you have any questions regarding the name change or any of the attached materials.

Respectfully submitted,

Gary S. Prettyman

Senior Director Regulatory Business

cc: Service list

## Service List **United Water Name Change**

Alessi, Robert DLA Piper LLP (US) United Water Westchester Inc. 677 Broadway, Suite 1205 Albany, NY 12207 robert.alessi@dlapiper.com

Ph: 518-788-9710 Fax: 518-935-9806

Castellucci, Donald Town of Owego 2354 State Route 434 Apalachin, NY 13732 dcastellucci@townofowego.com Ph: (607) 687-0123

Collar, Grega **Utility Intervention Unit** New York State One Commerce Plaza, 99 Washington Avenue, Suite 640 Albany, NY 12231 gregg.collar@dos.ny.gov

Dillon, John **United Water** 461 From Road, Suite 400, Paramus, NJ 07640 iohn.dillon@unitedwater.com

Ph: 845-623-1500

Ph: 518-474-1811

Dowling, Joseph **NYDPS** Three Empire State Plaza Albany, NY 12223-1350 joseph.dowling@dps.ny.gov Ph: (518) 474-6522

Fax: (518) 486-5710

Duthie, Daniel Municipal Consortium PO Box 8 Bellvale, NY 10912 duthie@strategicpower.com

Ph: 845-988-0453 Fax: 845-988-0455

FitzGerald, Brian Cullen and Dykman, LLP United Water Westchester Inc. 99 Washington Avenue, Suite 2020 Albany, NY 12210 bfitzgerald@cullenanddykman.com

Ph: (518) 788-9401

Harriman, Kimberly NYDPS Three Empire State Plaza Albany, NY 12223 kimberly.harriman@dps.ny.gov Ph: 518-474-8400 Fax: 518-473-3263

Lane, Kathy Dichter LawLLC City of Rye Village of Port Chester Village of Rye Brook 488 Madison Ave, 18th FI New York, NY 10022 dichter@dichterlaw.com Ph: (212) 593-4202 Fax: (212) 994-5394

Natoli, Albert Law Office of Albert A. Natoli, P.C. United Water Owego-Nichols Inc. The Woolworth Building, 233 Broadway, Suite 810 New York, NY 10279 alnatoli@pipeline.com Ph: (212) 619-8087 Fax: (877) 770-8087

Panko, Danielle, Utility Analyst Utility Intervention Unit One Commerce Plaza, 99 Washington Ave., Suite 640 Albany, NY 12231 danielle.panko@dos.ny.gov Ph: 518-408-3915

Rigberg, Saul Utility Intervention Unit, Division of Consumer Protection, New York Department of State One Commerce Plaza, 99 Washington Street Albany, NY 12231 saul.rigberg@dos.ny.gov Ph: (518) 408-3746 Fax: (518) 473-9211

Ruggiero, Kelly United Water 461 From Road, Suite 400, Paramus, NJ 07640 kelly.ruggiero@unitedwater.com

Ph: 845-623-1500

## Service List **United Water Name Change**

Schoenberger, Ilan Rockland County Legislature 11 New Hempstead Road New City, NY 10956 schoenbi@co.rockland.ny.us Ph: 845-638-5100

Simeti, Thomas County of Rockland 11 New Hempstead Road New City, NY 10956 simetit@co.rockland.ny.us Ph: 845-638-5108

St. Lawrence, Christopher Town of Ramapo 237 Route 59 Suffern, NY 10901 supervisor@ramapo.org Ph: (845) 357-5100 Fax: (845) 357-3877

Tormey, John P.O. Box 918 Pearl River, NY 10965 jtormey@optonline.net Ph: 845-735-9691

Fax: 212-410-2380

Trivisonno, Michael Director of Utilities Town of Owego 1319 Main Street Apalachin, NY 13732 mtrivisonno@townofowego.com

Ph: (607) 625-2197 Fax: (607) 625-3971

Walter, Perry Sr. Facilities Manager Sanmina-Sci Corporation 1200 Taylor Road Owego, NY 13827 perry.walter@sanmina-sci.com Ph: 607-689-5232

Wolfe, Alden **County Legislator** County of Rockland 11 New Hempstead Road New City, NY 10956 esquireaw@aol.com Ph: 845-638-5100

Woods, Esther Mayor Village of Nichols 320 West River Road Nichols, NY 13812 e woods32667@yahoo.com Ph: 607-699-3406

Zhu, Penny New York State Department of State 99 Washington Ave NY 12210 Penny.Zhu@dos.ny.gov Ph: 518-486-9446

Christopher Graziano **United Water** 360 West Nyack Road West Nyack, NY 10994 Chris.Graziano@unitedwater.com

## **LEGAL NOTICE**

## UNITED WATER HAS A BRAND NEW NAME

## **NOTICE IS HEREBY GIVEN THAT:**

- 1) **United Water New York Inc.** is changing its name to SUEZ Water New York Inc.;
- United Water Westchester Inc. is changing its name to SUEZ Water Westchester Inc.; and
- 3) United Water Owego-Nichols Inc. is changing its name to SUEZ Water Owego-Nichols Inc. (collectively, the "Companies"). The name changes will have no impact on the Companies' customers who will continue to receive water service under the same rates, terms and conditions as are currently in effect. The name changes will also have no impact on any New York State Public Service Commission orders, rulings, or regulations in effect and applicable to the Companies.

Copies of the Companies' Tariffs are on file and may be examined at the offices of the New York State Public Service Commission or the Companies.

SUEZ Water New York Inc. SUEZ Water Westchester Inc. SUEZ Water Owego-Nichols Inc.

360 West Nyack Road West Nyack, NY 10994





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70 countries.50 companies.1 focus—you.

Globally, our demand for natural resources will more than double our current supply. If we don't start working towards a solution now, it will be too late.

That's why SUEZ is consolidating our businesses around a single mission—preparing for the resource revolution. By pooling our resources today, we're able to bring a global network to work on a local level. We do this so you can continue to enjoy the great water and service you do today, for many years to come.

Thinking Ahead | Learn more at mysuezwater.com



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## STATE OF NEW YORK DEPARTMENT OF STATE

I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.



WITNESS my hand and official seal of the Department of State, at the City of Albany, on November 10, 2015.

Anthony Giardina

Executive Deputy Secretary of State

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Rev. 06/13

## 151109000883



## CERTIFICATE OF AMENDMENT OF THE CERTIFICATE OF INCORPORATION

**OF** 

#### UNITED WATER NEW YORK INC.

Under Section 805 of the Business Corporation Law

It is hereby certified that:

FIRST: The name of the corporation is United Water New York Inc. (the "Corporation"). The name under which the Corporation was formed is The Spring Valley Water Works and Supply Company.

SECOND: The Certificate of Incorporation of the Corporation was recorded on January 28, 1893.

THIRD: The amendment of the Certificate of Incorporation of the Corporation affected by this Certificate of Amendment is as follows: to change the name of the Corporation.

FOURTH: To accomplish the foregoing amendment, the Second full paragraph following the preamble to the Certificate of Incorporation of the Corporation as referred to in the paragraph Second hereof, relating to the name of the Corporation, is hereby amended to read as follows:

"The name of the corporation shall be SUEZ Water New York Inc."

FIFTH: The foregoing amendment of the Certificate of Incorporation of the Corporation was authorized by the consent in writing of all the members of the Board of Directors of the Corporation, followed by the written consent of the sole shareholder of all of the outstanding shares of the Corporation entitled to vote on the said amendment of the Certificate of Incorporation.

IN WITNESS WHEREOF, we have subscribed this document on the date set forth below and do hereby affirm, under the penalties of perjury, that the statements contained therein have been examined by us and are true and correct.

ATTEST

UNITED WATER NEW YORK INC.

hn T. Dillon, Secretary

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CERTIFICATE OF AMENDMENT

OF

UNITED WATER NEW YORK INC.

Section 805 of the Business Corporation Law

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STATE OF NEW YORK DEPARTMENT OF STATE

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TAX S\_\_\_\_

Filer:

United Water

4th Floor

...461 From Road

Paramus, NJ 07652

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# STATE OF NEW YORK DEPARTMENT OF STATE

I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.



WITNESS my hand and official seal of the Department of State, at the City of Albany, on November 10, 2015.

Anthony Giardina

Executive Deputy Secretary of State

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Rev. 06/13



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## CERTIFICATE OF AMENDMENT OF THE CERTIFICATE OF INCORPORATION

OF

### UNITED WATER WESTCHESTER INC.

Under Section 805 of the Business Corporation Law

It is hereby certified that:

FIRST: The name of the corporation is United Water Westchester Inc. (the "Corporation"). The name under which the Corporation was formed is The New Rochelle Water Company Inc.

SECOND: The Certificate of Incorporation of the Corporation was recorded on February 13, 1885. Under the original name: THE NEW ROCHELLE WATER.

THIRD: The amendment of the Certificate of Incorporation of the Corporation affected by this Certificate of Amendment is as follows: to change the name of the Corporation.

FOURTH: To accomplish the foregoing amendment, the Third full paragraph following the preamble to the Certificate of Incorporation of the Corporation as referred to in the paragraph Third hereof, relating to the name of the Corporation, is hereby amended to read as follows:

"The name of the corporation shall be SUEZ Water Westchester Inc."

FIFTH: The foregoing amendment of the Certificate of Incorporation of the Corporation was authorized by the consent in writing of all the members of the Board of Directors of the Corporation, followed by the written consent of the sole shareholder of all of the outstanding shares of the Corporation entitled to vote on the said amendment of the Certificate of Incorporation.

IN WITNESS WHEREOF, we have subscribed this document on the date set forth below and do hereby affirm, under the penalties of perjury, that the statements contained therein have been examined by us and are true and correct.

**ATTEST** 

Kelly K. Ruggiers

UNITED WATER WESTCHESTER INC.

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CERTIFICATE OF AMENDMENT

OF

UNITED WATER WESTCHESTER INC.

Section 805 of the Business Corporation Law

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United Water

4th Floor

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Paramus, NJ 07652

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# STATE OF NEW YORK DEPARTMENT OF STATE

I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.



WITNESS my hand and official seal of the Department of State, at the City of Albany, on November 10, 2015.

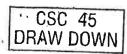
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Anthony Giardina

Executive Deputy Secretary of State

Rev. 06/13

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## CERTIFICATE OF AMENDMENT OF THE CERTIFICATE OF INCORPORATION

OF

#### UNITED WATER OWEGO-NICHOLS INC.

Under Section 805 of the Business Corporation Law

It is hereby certified that:

FIRST: The name of the corporation is United Water Owego-Nichols Inc. (the "Corporation"). The name under which the Corporation was formed is Owego Water Works

SECOND: The Certificate of Incorporation of the Corporation was recorded on Fefruary 23, 1906.

THIRD: The amendment of the Certificate of Incorporation of the Corporation affected by this Certificate of Amendment is as follows: to change the name of the Corporation.

FOURTH: To accomplish the foregoing amendment, the Second full paragraph following the preamble to the Certificate of Incorporation of the Corporation as referred to in the paragraph Second hereof, relating to the name of the Corporation, is hereby amended to read as follows:

"The name of the corporation shall be SUEZ Water Owego-Nichols Inc."

FIFTH: The foregoing amendment of the Certificate of Incorporation of the Corporation was authorized by the consent in writing of all the members of the Board of Directors of the Corporation, followed by the written consent of the sole shareholder of all of the outstanding shares of the Corporation entitled to vote on the said amendment of the Certificate of Incorporation.

IN WITNESS WHEREOF, we have subscribed this document on the date set forth below and do hereby affirm, under the penalties of perjury, that the statements contained therein have been examined by us and are true and correct.

**ATTEST** 

Kelly K. Ruggiero

UNITED WATER OWEGO-NICHOLS INC.

in T. Dillon, Secretary

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CERTIFICATE OF AMENDMENT

OF

UNITED WATER OWEGO-NICHOLS INC.

Section 805 of the Business Corporation Law

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DEPARTMENT OF STATE

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United Water

4th Floor

461 From Road Paramus, NJ 07652 Cust. Ref#848815Ajc

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P.S.C. No. 2 – Water United Water Westchester Inc. Initial Effective Date: November 9, 2015 SUPPLEMENT TYPE: ADOP SUPPLEMENT NO. 1

SUEZ Water Westchester Inc. hereby adopts, ratifies, and in every respect makes its own as if the same had been originally filed by it, P.S.C. No. 2 Water, filed with the Public Service Commission, State of New York, by United Water Westchester Inc.

### Suez Water Westchester Inc.

## Adoption Notice No. 1

Suez Water Westchester Inc. hereby adopts, ratifies, and makes its own, in every respect, as if the same had been originally filed by it, the following instruments originally filed with the Public Service Commission, State of New York, or heretofore adopted by United Water Westchester Inc.:

Date Effective: November 9, 2015

P.S.C. No. 2 - Water

Date of Issue: November 9, 2015

Issued by David Stanton, President

360 West Nyack Road West Nyack, NY 10994

## SUEZ Water Owego-Nichols Inc.

## Adoption Notice No. 1

SUEZ Water Owego-Nichols Inc. hereby adopts, ratifies, and makes its own, in every respect, as if the same had been originally filed by it, the following instruments originally filed with the Public Service Commission, State of New York, or heretofore adopted by United Water Owego-Nichols Inc.:

Date Effective: November 9, 2015

P.S.C. No. 1 - Water

Date of Issue: November 9, 2015

Issued by David Stanton, President

360 West Nyack Road West Nyack, NY 10994

P.S.C. No. 1 – Water United Water Owego-Nichols Inc. Initial Effective Date: November 9, 2015 SUPPLEMENT TYPE: ADOP SUPPLEMENT NO. 5

SUEZ Water Owego-Nichols Inc. hereby adopts, ratifies, and in every respect makes its own as if the same had been originally filed by it, P.S.C. No. 1 Water, filed with the Public Service Commission, State of New York, by United Water Owego-Nichols Inc.

P.S.C. No. 1 – Water United Water New York Initial Effective Date: November 9, 2015 SUPPLEMENT TYPE: ADOP SUPPLEMENT NO. 13

SUEZ Water New York Inc. hereby adopts, ratifies, and in every respect makes its own as if the same had been originally filed by it, P.S.C. No. 1 Water, filed with the Public Service Commission, State of New York, by United Water New York.

Issued by: David Stanton, President, 360 West Nyack Road, West Nyack, NY 10994

### Suez Water New York Inc.

## Adoption Notice No. 1

Suez Water New York Inc. hereby adopts, ratifies, and makes its own, in every respect, as if the same had been originally filed by it, the following instruments originally filed with the Public Service Commission, State of New York, or heretofore adopted by United Water New York Inc.:

P.S.C. No. 1 - Water

Date of Issue: November 9, 2015

Issued by David Stanton, President

360 West Nyack Road West Nyack, NY 10994 Date Effective: November 9, 2015