

## UNITED WATER NEW ROCHELLE

Temporary State Assessment Surcharge Calculation  
Based on Calendar Year 2013 Revenues

Line No.	Description	Source	Amount
1	Total Operating Revenues:	<i>Ann Rprt, pg 300</i>	\$ 50,591,295
2	Adjustments:		
3	Interstate Revenues	<i>Ann Rprt, pg 300</i>	-
4	Sales for Resale	<i>Ann Rprt, pg 300</i> <sup>(1)</sup>	-
5	Uncollectibles	<i>Case 09-W-0824</i> 0.24%	(121,065)
6	Per 18-a		<u>(500,000)</u>
7	Total Adjustments:		(621,065)
8	Assessable Revenues:		49,970,230
9	Assessment Rate:		<u>1.63%</u>
10	Estimated Combined General Assessment and		
11	Temporary State Assessment Amount:		814,515
12	General Assessment in Base Rates:	<i>Case 09-W-0824</i> 0.33%	<u>167,204</u>
13	Estimated Temporary Assessment Surcharge Amount:		647,311
14	Prior Year Reconciliation		<u>(39,158)</u>
			608,153
15	Surcharge as a % of 2013 Billed Revenues:	50,388,992	1.21%
Note:			
	Assessable Revenues from Line 8	49,970,230	
	Less: Miscellaneous Revenues not Billed (Ann Rpt pg 306)	<u>(418,762)</u>	
	Total Billed Revenues to apply surcharge	50,388,992	
<sup>(1)</sup>	Resale customer is billed at metered rate with all surcharges		

**UNITED WATER NEW ROCHELLE**

## Temporary State Energy and Utility Service Conservation Assessment

Effective: April 1, 2009 - December 31, 2017

Period	Interest	Amortization	Bi-Annual Due March 10	Bi-Annual Due Sept 10	Total Payments	18619 Balance
2009 - 2010	14,909.78	(662,429.19)			\$640,162.62	(7,356.79)
2010 - 2011	22,957.51	(710,454.59)	\$322,502.87	\$384,341.88	\$706,844.75	11,990.88
2011 - 2012	26,736.74	(776,281.80)	\$353,390.64	\$409,717.68	\$763,108.32	25,554.14
2012 - 2013	33,865.50	(838,418.87)	\$381,541.98	\$473,128.86	\$854,670.84	75,671.61
2013 - 2014	30,281.43	(1,002,762.64)	\$426,874.32	\$430,776.91	\$857,651.23	(39,158.37)
2014 - 2015			\$431,730.48		\$431,730.48	392,572.11
2015 - 2016						
2016 - 2017						
2017 - 2018						
<b>Total:</b>	<b>128,750.96</b>	<b>(3,990,347.09)</b>			<b>\$4,254,168.24</b>	

Rate Case #	Pre-tax ROR*	Tax%	Annual Int	Monthly Int	Effective
09-W-0824	10.77%	60%	6.46%	0.54%	11/1/2010

Period:	Cummulative Balance	Interest Rate Net of Tax	Interest	Amortization	Payments	18619 Balance
Jul-13	502,545.93	0.54%	2,706.21	(69,942.89)		435,309.25
Aug-13	435,309.25	0.54%	2,344.14	(88,872.06)		348,781.33
Sep-13	348,781.33	0.54%	1,878.19	(114,078.00)	430,776.91	667,358.43
Oct-13	667,358.43	0.54%	3,593.73	(92,016.24)		578,935.91
Nov-13	578,935.91	0.54%	3,117.57	(91,268.01)		490,785.47
Dec-13	490,785.47	0.54%	2,642.88	(102,186.70)		391,241.65
Jan-14	391,241.65	0.54%	2,106.84	(74,863.67)		318,484.82
Feb-14	318,484.82	0.54%	1,715.04	(60,558.73)		259,641.13
Mar-14	259,641.13	0.54%	1,398.17	(86,025.35)	431,730.48	606,744.43
Apr-14	606,744.43	0.54%	3,267.32	(69,503.02)		540,508.73
May-14	540,508.73	0.54%	2,910.64	(60,464.82)		482,954.55
Jun-14	482,954.55	0.54%	2,600.71	(92,983.15)		392,572.11
<b>Balance :</b>			<b>30,281.43</b>	<b>(1,002,762.64)</b>		<b>(39,158.37)</b>

check: 502,545.93 Begin Balance  
430,776.91  
30,281.43  
(1,002,762.64)  
(39,158.37)

Carrying Charges are the authorized pre-tax ROR to net of tax balance

NR authorized ROR established in 09-W-0824 of 10.77% applicable to each year of the agreement

This will change with the effective date of new rates from the current rate case.