

UNITED WATER NEW YORK

Temporary State Assessment Surcharge Calculation
Based on Calendar Year 2013 Revenues

Line No.	Description	Source	Amount
1	Total Operating Revenues:	<i>Ann Rprt, pg 300</i>	\$ 78,430,614
2	Adjustments:		
3	Interstate Revenues	<i>Ann Rprt, pg 300</i>	(1,686,500)
4	Sales for Resale (UWNJ)	<i>Ann Rprt, pg 300</i>	(211,748)
5	Uncollectibles	<i>Case 09-W-0731</i> 0.396%	(310,585)
6	Per 18-a		<u>(500,000)</u>
7	Total Adjustments:		(2,708,833)
8	Assessable Revenues:		75,721,781
9	Assessment Rate:		<u>1.63%</u>
10	Estimated Combined General Assessment and		
11	Temporary State Assessment Amount:		1,234,265
12	General Assessment in Base Rates:	<i>Case 09-W-0731</i> 0.331%	<u>259,605</u>
13	Estimated Temporary Assessment Surcharge Amount:		974,660
14	Prior Year Reconciliation		<u>90,841</u>
			1,065,501
15	Surcharge as a % of 2013 Billed Revenues:	75,338,797	1.41%
Note:			
	Assessable Revenues from Line 8	75,721,781	
	Less: Miscellaneous Revenues not Billed (Ann Rpt pg 306)	<u>382,984</u>	
	Total Billed Revenues to apply surcharge	75,338,797	

UNITED WATER NEW YORK

Temporary State Energy and Utility Service Conservation Assessment

Effective: April 1, 2009 - December 31, 2017

Period	Interest	Amortization	Bi-Annual Due March 10	Bi-Annual Due Sept 10	Total Payments	18619 Balance
2009 - 2010	27,369.44	(900,548.67)		\$926,999.63	\$926,999.63	53,820.40
2010 - 2011	33,199.65	(1,115,137.62)	\$467,006.34	\$574,887.02	\$1,041,893.36	13,775.79
2011 - 2012	42,356.97	(1,131,499.40)	\$520,899.90	\$565,043.94	\$1,085,943.84	10,577.20
2012 - 2013	36,635.52	(1,255,749.73)	\$531,193.40	\$645,103.42	\$1,176,296.82	(32,240.19)
2013 - 2014	41,493.56	(1,193,235.75)	\$598,795.11	\$676,028.44	\$1,274,823.55	90,841.17
2014 - 2015			\$641,729.60		\$641,729.60	732,570.77
2015 - 2016						
2016 - 2017						
2017 - 2018						
Total:	181,055.14	(5,596,171.17)			\$6,147,686.80	

Rate Case #	Pre-tax ROR*	Tax%	Annual Int	Monthly Int	Effective
09-W-0731	10.71%	60%	6.43%	0.54%	8/31/2010

Period:	Cummulative Balance	Interest Rate Net of Tax	Interest	Amortization	Payments	18619 Balance
Jul-13	566,554.92	0.54%	3,033.90	(133,738.18)		435,850.64
Aug-13	435,850.64	0.54%	2,333.98	(133,299.04)		304,885.58
Sep-13	304,885.58	0.54%	1,632.66	(137,590.07)	676,028.44	844,956.61
Oct-13	844,956.61	0.54%	4,524.74	(113,233.80)		736,247.56
Nov-13	736,247.56	0.54%	3,942.61	(84,454.00)		655,736.16
Dec-13	655,736.16	0.54%	3,511.47	(84,957.75)		574,289.88
Jan-14	574,289.88	0.54%	3,075.32	(83,715.94)		493,649.26
Feb-14	493,649.26	0.54%	2,643.49	(75,539.37)		420,753.38
Mar-14	420,753.38	0.54%	2,253.13	(84,515.42)	641,729.60	980,220.70
Apr-14	980,220.70	0.54%	5,249.08	(80,350.71)		905,119.07
May-14	905,119.07	0.54%	4,846.91	(79,665.12)		830,300.86
Jun-14	830,300.86	0.54%	4,446.26	(102,176.35)		732,570.77
Balance :			41,493.56	(1,193,235.75)		90,841.17

check: 566,554.92 Begin Balance
676,028.44
41,493.56
(1,193,235.75)
90,841.17

Carrying Charges are the authorized pre-tax ROR to net of tax balance

NY authorized ROR established in 09-W-0731 of 10.71% applicable to each year of the agreement

This will change with the effective date of new rates from the current rate case.