PSC NO. 4 GAS LEAF:	166.12
ORANGE AND ROCKLAND UTILITIES, INC. REVISION:	1
INITIAL EFFECTIVE DATE: April 1, 2004 SUPERSEDING REVISION:	0

SERVICE CLASSIFICATION NO. 11 (Cont'd.)

SPECIAL PROVISIONS: (Cont'd.)

- (I) ESCO Deposit and Prepayment Requirements (Cont'd.)
 - 1. (Cont'd.)

The evidence relating to prepayments shall be in the form of a minimum bond rating of "BBB" from S&P, "Baa 2" from Moody's, or "BBB" from Fitch, for either the ESCO or its parent, if the parent agrees to act as guarantor for the ESCO.

This evidence shall be submitted annually to the Company August 1.

- 2. Deposits will not be transferred from the Company to an ESCO.
- 3. An ESCO will maintain records of deposits and prepayments made by customers to the ESCO in a manner to be readily available for inspection upon request by the Department of Public Service Staff. An ESCO shall file a report with the Commission regarding such customer deposits and prepayments in the manner and form required by the Commission.
- 4. ESCOs will provide notice of these requirements to a new customer before the customer makes a deposit or prepayment.
- 5. The Company shall not be liable to customers for an ESCO's failure to refund or return prepayments and/or deposits.
- (J) Seller Charges

Sellers shall be subject to the following charges:

- (1) <u>Charge to Suspend Service</u>: \$21.00 each time a Company employee visits a customer's premises to suspend service at the request of a Seller. "Suspend service" or "suspension of service" refers to the disconnection of delivery service at a Seller's request pursuant to Section 32, subdivision 5, of the Public Service Law. By submitting a request to suspend service in the authorized form, the Seller represents that it has complied with all statutory and regulatory requirements for suspension of service. If the gas and electric service is suspended at the same time, the charge shall be made for only one service.
- (2) <u>Charge to Calculate Bundled Bill</u>: \$3.75 for each residential account on which the ESCO requests the Company to calculate the Delivery Charge and the Gas Supply Charge as if the gas supply had been purchased from the Company.
 - Issued By: John D. McMahon, President, Pearl River, New York (Name of Officer, Title, Address)