

PSC No: 19 - Electricity  
Rochester Gas and Electric Corporation  
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## GENERAL INFORMATION

### 11. GENERAL RETAIL ACCESS - MULTI-RETAILER MODEL (Cont'd)

The ESCO must replenish or reinstate the required security within five (5) calendar days where: (1) the ESCO's credit rating or security no longer meets the credit requirements set forth in this General Retail Access tariff, and/or (2) RG&E, at its discretion, draws on the ESCO's security deposit. If the ESCO/DC fails to replenish the required security, RG&E shall have the right to initiate a process to discontinue such ESCO/DC's participation in RG&E's General Retail Access program.

- i. RG&E may, at its discretion, reduce or eliminate any security requirements as long as this standard is applied equitably to all ESCOs/DCs existing and new.

#### (h) Prepayments and Deposits:

If an ESCO, or its parent guarantor, has submitted evidence of (1) a minimum bond rating of "BBB" from S&P, "Baa 2" from Moody's or "BBB" from Fitch, or (2) an escrow account, or (3) a letter of credit, the ESCO may accept deposits from its customers. An ESCO may accept prepayments from its customers, only if it, or its parent guarantor, has submitted evidence of a minimum bond rating as set forth in the preceding sentence.

ESCOs seeking to collect prepayments or deposits from their customers shall submit the required evidence described in the preceding paragraph to RG&E on August 1, 2002 and on August 1 of each subsequent year to show appropriate evidence of financial viability.

An ESCO that has appropriately secured deposits by showing evidence of an escrow account or a letter of credit from an "A" rated financial institution may secure customer deposits, request deposits from its customers, and negotiate appropriate deposit amounts.

An ESCO must provide a notice describing, among other things, the methods used to secure customer deposits and prepayments to a new customer before the customer makes a deposit or prepayment. An ESCO shall notify its existing customers of its methods used to secure customer deposits and prepayments by providing copies of revised disclosure statements and/or contracts that contain the information in the notice. ESCOs are required to file an example of the revised disclosure statement and/or contract with PSC Staff prior to distribution to customers.

Consistent with Case Nos. 00-M-0504 (Order issued May 9, 2002, and Notice issued May 9, 2001) and 98-M-1343 (Order issued April 15, 1999), the Commission's consumer protections regarding prepayments and deposits extend to small commercial customers and small nonresidential customers as well as to residential customers.

#### 8. Billing:

(a) RG&E will perform cycled meter readings in accordance with current practices and provide the ESCO/DC via EDI with a file containing the applicable usage for billing, according to RG&E's Service Classification, on the same day such information is used by RG&E to issue RG&E's bill to the Customer. Information provided by RG&E to the ESCO shall be used by the ESCO solely for billing its Customers and for no other purposes.

(b) The ESCO will be responsible for billing its services and offering customer services related to such billing.

(c) At the request of an ESCO or a DC, RG&E will provide service class load profile information, as more specifically set forth in the Electric Supplier Manual.

ISSUED BY: James A. Lahtinen, Vice President Rates and Regulatory Economics, Rochester, New York