

PSC No: 16 - Gas  
Rochester Gas and Electric Corporation  
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## GENERAL INFORMATION

### **10. GENERAL RETAIL ACCESS - MULTI-RETAILER MODEL (Cont'd)**

#### **(h) Prepayments and Deposits:**

If an ESCO, or its parent guarantor, has submitted evidence of: (1) a minimum bond rating of "BBB" from S&P, "Baa 2" from Moody's or "BBB" from Fitch; or (2) an escrow account; or (3) a letter of credit, the ESCO may accept deposits from its customers. An ESCO may accept prepayments from its customers, only if it, or its parent guarantor, has submitted evidence of a minimum bond rating as set forth in the preceding sentence.

ESCOs seeking to collect prepayments or deposits from their customers shall submit the required evidence described in the preceding paragraph to the Company on August 1, 2002 and on August 1 of each subsequent year to show appropriate evidence of financial viability.

An ESCO that has appropriately secured deposits by showing evidence of an escrow account or a letter of credit from an "A" rated financial institution may secure customer deposits, request deposits from its customers, and negotiate appropriate deposit amounts.

An ESCO must provide a notice describing, among other things, the methods used to secure customer deposits and prepayments to a new customer before the customer makes a deposit or prepayment. An ESCO shall notify its existing customers of its methods used to secure customer deposits and prepayments by providing copies of revised disclosure statements and/or contracts that contain the information in the notice. ESCOs are required to file an example of the revised disclosure statement and/or contract with PSC Staff prior to distribution to customers.

Consistent with Case Nos. 00-M-0504 (Order issued May 9, 2002, and Notice issued May 9, 2001) and 98-M-1343 (Order issued April 15, 1999), the PSC's consumer protections regarding prepayments and deposits extend to small commercial customers and small nonresidential customers as well as residential customers.

#### **8. Billing:**

(a) RG&E will perform cycled meter readings in accordance with current practices and provide the ESCO/DC via EDI with a file containing the applicable usage for billing, according to RG&E's Service Classification, on the same day such information is used by RG&E to issue RG&E's bill to the Customer. Information provided by RG&E to the ESCO shall be used by the ESCO solely for billing its Customers and for no other purposes.

(b) The ESCO will be responsible for billing its services and offering customer services related to such billing.

(c) At the request of an ESCO or a DC, RG&E will provide class or Customer load profile information, as more specifically set forth in the GTOP Manual.

#### **(d) Invoices:**

Invoices shall be issued to ESCOs/DCs monthly for imbalances, Customer data provided on request (over and above the information provided without charge), Special Meter Reading charges, adjustments to prior invoices, and other retail tariff services provided at the request of the ESCOs/DCs. Services requested directly by Customers will be billed directly to the Customers unless ESCOs request that those charges be billed to them instead.

ISSUED BY: James A. Lahtinen, Vice President Rates and Regulatory Economics, Rochester, New York