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..TXT: PSC NO: 218 GAS LEAF: 197.16 COMPANY: NIAGARA MOHAWK POWER CORPORATION REVISION: 0

INITIAL EFFECTIVE DATE: 06/01/99 SUPERSEDING REVISION:

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SERVICE CLASSIFICATION NO. 11 LOAD AGGREGATION (continued)

## Slamming, Cramming, and Other Similar Practices

1. A Marketer shall not engage in Slamming, Cramming, or other similar activities.

- 2. The Company will notify the DPS of any Slamming, Cramming, or other similar activities.
- 3. Any Marketer, who engages in Slamming, Cramming, or other similar activities, will be fully responsible for all wrongful charges applied to the customer\*s bill (s) and for all reasonable costs incurred by the Company, including but not limited to, Company costs associated with reporting the Marketer\*s conduct to the PSC. Such Marketer\*s eligibility to serve retail customers in the State of New York may also be terminated by the PSC and/or a monetary penalty may be imposed.
- 4. Marketers shall retain and maintain 6 years documentation of customer authorizations to take Delivery Service from them. Such documentation shall be in the form of one of the following:
  - a. written agreements signed by customers;
  - b. written statements by independent third parties that witnessed or heard verbal commitments by the customers;
  - c. tape recordings made by Marketers of the customer\*s verbal commitments;
    or
  - d. electronic transmittals that can be shown to have originated with the customers.

Issued By: <u>Darlene D. Kerr, Executive Vice President, Syracuse, New York</u>