..DID: 8343 ..TXT: PSC NO: 218 GAS LEAF: 197.23 COMPANY: NIAGARA MOHAWK POWER CORPORATION REVISION: 0 INITIAL EFFECTIVE DATE: 06/01/99 SUPERSEDING REVISION: STAMPS: RECEIVED: 04/26/99 STATUS: Effective EFFECTIVE: 06/01/99 SERVICE CLASSIFICATION NO. 11 LOAD AGGREGATION (continued)

Involuntary Discontinuance of an Marketer*s Participation in the Supplier Select Program (continued)

e. Where the PSC or DPS determines that the Marketer is not eligible to sell electricity or natural gas to retail customers in the State of New York for reasons including:

i. failure to adhere to the policies and procedures described in its disclosure statement to customers;

- ii. failure to comply with prescribed consumer protections;
- iii. an unacceptably high volume of customer complaints;
- iv. failure to comply with applicable ISO and Power Exchange requirements;
- v. failure to comply with prescribed reporting requirements;
- vi. failure to comply with oversight requirements set forth by the PSC or DPS;

vii. failure to apprise the PSC of all material changes in the information in the applicant*s initial filing;

- viii. failure to comply with the voluntary discontinuance requirements set forth in this Service Classification;
- ix. failure to comply with other applicable requirements of the PSC, including those in Opinion No. 97-5, "Opinion and Order Establishing Regulatory Policies for the Provision of Retail Energy Services", issued May 19, 1997, in Case 94-E-0952; and in the Order Clarifying consumer Protections, issued October 25, 1996, in Cases 93-G-0932, <u>et. al</u>.
- f. Where the Marketer fails to pay a bill for an imbalance charge (including special interim imbalance charge) when due, does not pay the bill within 10 calendar days after notification of non-receipt of payment, and the available security is or will be insufficient to cover the amount of default.
- 3. The Company may initiate the process to discontinue a Marketer by providing the Marketer a notice (with a copy to the PSC) that advises the Marketer that its right to switch additional customers is suspended immediately and that the discontinuance process is being initiated.
 - a. The notice will state that unless the stated cause of the discontinuance is corrected within 10 calendar days from the Marketer's receipt of the notice, or the PSC or the DPS requires otherwise, the Marketer's existing customers will be notified that the Marketer will be discontinued.