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GENERAL RULES

24. Service Classification Riders (Available on Request) - Continued

RIDER U - DISTRIBUTION LOAD RELIEF PROGRAM - Continued

F. Applications and Term of Service – Continued

4. An Aggregator may enroll a new customer or customers in a new ANR or ANRs, each subject to its own Three-Year Incentive Period. A Direct Participant or Aggregator, per ANR, electing to participate for the Three-Year Incentive Period must pledge kW amounts for each of the three years on its enrollment application for the first year. The kW of pledged Load Relief on the enrollment application for the first year must state pledged kW amounts for each of the second and third Capability Periods that are equal to or greater than the kW of contracted Load Relief for the first Capability Period.

Once a Direct Participant or ANR commences participation for the first Capability Period of a Three-Year Incentive Period, the pledged Load Relief cannot be increased or decreased for any of the three years, except for 2014 participants requesting to increase their second and/or their third year pledges prior to the start of the 2015 Capability Period. However, the Direct Participant or Aggregator may apply in writing to reduce the pledged Load Relief for the upcoming Capability Period for a kW amount up to the demand savings realized from a completed electric efficiency project, as described in section J.10.

Provided that it does not result in a change to the total pledged Load Relief in an existing ANR, an Aggregator, in its enrollment applications for the second and third Capability Periods, may: (a) enroll additional customers in an existing ANR and/or (b) swap customers between ANRs within a specific network.

- 5. By February 2, 2015, each Aggregator that participated in the Three-Year Incentive Period in 2014 must allocate its contracted kW of Load Relief per network into one or more ANRs, with the same or greater cumulative kW of Load Relief. If the Aggregator does not allocate kW to ANRs by February 2, 2015, the Company shall designate a separate ANR for each individual customer on behalf of the Aggregator.
- 6. Load Relief of an Aggregator will be measured on a portfolio basis by network. However, for Aggregators enrolled in the Three-Year Incentive Period, Load Relief will be calculated separately for each ANR commencing with the first Planned Event or Test Event in 2015.
- 7. If a Direct Participant or Aggregator requests to operate Electric Generating Equipment for Load Relief purposes under this Rider, the application must state generator information, including the unit's serial number, nameplate rating, manufacturer, and date of manufacture, and the Company has approved the interconnection of such equipment. Furthermore, participants enrolled in a NYISO market-based program offered by the Company, NYPA or other entity, such as the Day-ahead Demand Response Program or the Demand-Side Ancillary Service Program, must provide the Company with their NYISO generator identification number, under a confidentiality agreement, and give the Company the ability to view their market participation activity. This information will be used to verify the times of participation in these other programs to prevent double-payment during concurrent events.
- 8. Direct Participants and Aggregators must meet the metering requirements specified in section E.

Issued by: Robert Hoglund, Senior Vice President & Chief Financial Officer, New York, NY