



Lori A. Cole Manager - Regulatory & Tariffs

September 30, 2021

VIA ELECTRONIC FILING

Honorable Michelle L. Phillips Secretary New York State Public Service Commission Three Empire State Plaza Albany, NY 12223-1350

Re: Case 19-E-0378 – Proceeding on Motion of the Commission as to the Rates,

Charges, Rules and Regulations of New York State Electric & Gas Corporation

for Electric Service.

Case 19-E-0380 – Proceeding on Motion of the Commission as to the Rates, Charges, Rules and Regulations of Rochester Gas and Electric Corporation for

Electric Service.

Dear Secretary Phillips:

The enclosed Statements, issued by New York State Electric & Gas Corporation ("NYSEG") and Rochester Gas and Electric Corporation ("RG&E"), (together the "Companies"), are transmitted for filing in compliance with the New York State Public Service Commission's (the "Commission") Order Approving Electric and Gas Rate Plans In Accord With Joint Proposal, With Modifications, issued and effective on November 19, 2020 (the "Order"), in the above-referenced proceedings. The Statements will become effective November 1, 2021.

PSC No. 120 – Electricity EAM Statement No. 1

PSC No. 121 – Electricity EAM Statement No. 1

PSC No. 18 – Electricity EAM Statement No. 1

PSC No. 19 – Electricity EAM Statement No. 1



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Purpose of Filing

Pursuant to the Order, the Companies are permitted to recover earned Earnings Adjustment Mechanism (EAM) incentives through a surcharge mechanism. The Companies will recover the EAM incentives through the Transition Charge. The Companies are filing its EAM Statements in accordance with their filed tariffs. The Statements are to be filed on not less than 30 days' notice.

If there are any questions concerning this filing, please contact me at (585)484-6810.

Respectfully submitted,

Lori A. Cole

Enclosures