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November 27, 2013

## VIA ELECTRONIC FILING AND HAND DELIVERY

Honorable Kathleen Burgess, Secretary New York State Public Service Commission Three Empire State Plaza Albany, NY 12223-1350

# Re: <u>Case 13-W-</u> - Proceeding on Motion of the Commission as to the Rates, Charges, Rules and Regulations of United Water New Rochelle Inc.

Dear Secretary Burgess:

United Water New Rochelle Inc. ("UWNR") and United Water Westchester Inc. ("UWWC") (together, the "Companies") hereby enclose the tariff leaves listed below, which are being issued by UWNR and UWWC and are being transmitted for filing in compliance and accordance with the New York State Public Service Commission's ("Commission") rules and regulations. The tariffs are filed to become effective on January 1, 2014.

Leaf	Revison	Superseding
1	1	0
3	1	0
4	1	0
5	4	3
6	1	0
8	1	0
9	1	0
10	1	0
14	3	2
18	1	0
19	1	0
20	1	0
20.1	0	
21	3	2
21.1	0	
22	1	0
28	1	0
28.1	0	
29	1	0
30.1	0	
30.2	. 0	
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<u>Leaf</u> 30.3	<u>Revison</u> 0	Superseding
30.4	0	
30.5	0	
30.6 30.7	0 0	
32	1	0
35	1	0
36	3	2
38	3	2
38.1	0	-
41	1	0
44	1	0
53	1	0
53.1	0	
58	1	0
59	1	0
59.1	0	
85	1	0
85.1	0	2
86	33	2 2
87 89	3	2 0
99 91	3	2
91.1	0	2
92	1	0
93	3	2
94	1	0
95	1	Ő
96	1	0
97		2
97.1	3 2	1
Statement Type:	Statement No.	
GRTS	7	
PWAS	12	
EPCA	9	
DEPC	4	
TSA	6	
DRS	2	
RRC	9	
WTSA	1	
WDRS	1	
PWCT	1	
PWWJ	1	
WRRC	1	

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CancelStatement Type:Statement No.DPWS1

The Companies will be filing an application seeking Commission authorization to merge UWWC into UWNR. The Companies propose that, upon approval of the proposed merger and of new rates in these proceedings, all existing UWWC tariff leaves and statements will be cancelled and customers of that company will be billed at the UWNR proposed rates.

#### Purpose of the Filing

The enclosed revisions to Schedule P.S.C. No. 1 - Water, are designed to produce an annual increase in base rates for the Companies of approximately \$17.9 million. However, taking into account the resetting to zero of current surcharges, the net customer impact will be an increase of approximately \$14.3 million. Prepared written testimony and exhibits comprising the Companies' direct case in support of these tariff revisions are being filed with the Commission contemporaneously under separate cover. The Companies' supporting testimony and exhibits are premised on the Commission's approval of the proposed merger of UWWC into UWNR.

#### Newspaper Publication

Notice of this filing will be published in newspapers in accordance with the Commission's requirements set forth in 16 NYCRR § 720-8.1. Proof of publication of the notice will be filed upon completion.

### State Administrative Procedure Act

A proposed form Notice of Proposed Rulemaking for publication in the <u>State Register</u> pursuant to the State Administrative Procedure Act is enclosed.

Please do not hesitate to contact me if you have any questions regarding this filing.

Respectfully submitted,

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Kevin Doherty Director - Regulatory Business

Enclosures

cc: Active Party Service Lists from Cases 09-W-0824 and 09-W-0828 (via e-mail) Saul Rigberg-Department of State-Utility Intervention Unit (via Hand Delivery)