



June 13, 2013

VIA ELECTRONIC MAIL

Honorable Jeffrey Cohen  
Acting Secretary of the Commission  
State of New York Public Service Commission  
Three Empire State Plaza  
Albany, NY 12223-1350

Re: Case No. 09-M-0311 – Implementation of Chapter 59 of the Laws of 2009 Establishing a  
Temporary Annual Assessment Pursuant to Public Service Law §18-a(6) – Annual  
Compliance Filing

Dear Acting Secretary Cohen:

New York State Electric & Gas Corporation ("NYSEG") and Rochester Gas and Electric Corporation ("RG&E") (together "the Companies") hereby submits for filing the enclosed statements, listed below, to become effective July 1, 2013, in compliance with Ordering Paragraph 1 of the New York Public Service Commission's (the "Commission") Order Implementing Temporary State Assessment in the above-referenced proceeding, issued and effective June 19, 2009 (the "Order") and Appendix 7-H (electronic tariff filing system) to the Commission's Codes, Rules and Regulations (16 NYCRR Appendix 7-H).

**NYSEG:**

PSC No. 120 – Electric, Schedule for Electric Service – TSAS No. 6  
PSC No. 121 – Electric, Schedule for Electric Service – TSAS No. 6  
PSC No. 87 – Gas, Schedule for Gas Service – TSAS No. 5  
PSC No. 88 – Gas, Schedule for Gas Service – TSAS No. 6

**RG&E:**

PSC No. 18 – Electric, Schedule for Electric Service – TSAS No. 5  
PSC No. 19 – Electric, Schedule for Electric Service – TSAS No. 6  
PSC No. 16 – Gas, Schedule for Gas Service – TSAS No. 6

**Overview of the Filing**

Today's filing sets forth updated Temporary State Assessment Surcharges in compliance with the Order.

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For electric and gas service, the Companies allocated the Temporary State Assessment Surcharge amounts to the respective service classes based on the Company's 2012 delivery and supply revenues and the estimated 2012 ESCO supply revenues.

Electric and gas surcharge rates were then derived based on the resulting allocated Temporary State Assessment amount for each service class. The Order provides that "To the extent the amount of the Temporary State Assessment decreases in any year due to a fluctuation in annual intrastate gross operating revenues, we authorize the companies to maintain the prior year's surcharge in order to improve their cash flow position without increasing customers' bills."<sup>1</sup>

For NYSEG gas and RG&E electric and gas services, the total assessment requirements for the fiscal year ending June 2014 compared to the forecasted revenue that would be collected at current surcharge rates indicates a surplus. The Companies are therefore filing for a reduction in the surcharge rates for all NYSEG gas and RG&E electric and gas service classes. For NYSEG electric, the total assessment requirements for the fiscal year ending June 2014 compared to the forecasted revenue that would be collected at current surcharge rates indicates a slight shortfall. NYSEG electric surcharge rates will increase slightly for all service classifications except Service Classification Nos. 3 Primary and 3 (High Load Factor), 5 (Outdoor Lighting), 11 (Standby - Sub classes 8, 12, 9, 3P, 7-3 ), and PSC No. 121, Street Lighting.

**Newspaper Publication**

In accordance with Ordering Paragraph 3, the requirement of § 66(12)(b) of the Public Service Law regarding newspaper publication is waived.

**Company Contacts**

Please direct any questions pertaining to this filing to Kathy Grande at (585) 771-4514 or to me at (607) 762-8710.

Respectfully submitted,



Lori A. Cole  
Manager, Regulatory & Tariffs  
Rates and Regulatory Economics Department

Enclosures

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<sup>1</sup> Order, at page 32.