February 18, 2004

#### VIA ELECTRONIC FILING

Honorable Jaclyn A. Brilling, Secretary New York State Public Service Commission 3 Empire State Plaza Albany, NY 12223-1350

Re: Filing in compliance with the Commission's <u>Order Adopting Revised Uniform Business Practices</u>, issued November 21, 2003 (the "November Order"), <u>Order on Petitions for Rehearing and Clarification</u>, issued December 5, 2003 (the "December 5th Order"), and <u>Order Granting Extensions and Denying</u> Petitions in Part, issued December 19, 2003 (the "Extension Order").

### Dear Secretary Brilling:

The enclosed revised tariff leaves, issued by Rochester Gas & Electric Corporation ("RG&E" or the "Company"), are transmitted for filing in compliance with the requirements of the New York State Public Service Commission ("PSC" or "Commission"), to be effective February 19, 2004. See Appendix 1 for an Index of leaves.

Tariff leaves are being filed pursuant to the November Order and the Extension Order. Today's filing creates an Addendum within RG&E's tariffs, PSC No. 16 – Gas and PSC No. 19 – Electricity, entitled UBP Addendum 1, which contains the Commission's January 2004 Uniform Business Practices ("UBPs"). The UBP Addendum is being filed in compliance with Ordering Clause 2 of the November Order and Ordering Clause 2 of the Extension Order, to tariff the Commission's revised UBP rules. RG&E has also removed tariff provisions which were inconsistent, redundant or superseded by the contents of the UBP Addendum. RG&E's proposed revised tariffs, together with the UBP Addendum, incorporate the Commission's Uniform Business Practices into the Company's General Retail Access – Multi-Retailer Model tariffs.

RG&E has modified its tariff provisions pursuant to the December 5th Order and to RG&E's December 4, 2003 Tariff Filing (the "Multi-Retailer Filing") in Compliance with the Commission's Order Adopting Recommended Decision with Modifications, Case Nos. 02-E-0198 and 02-G-0199, issued March 7, 2003 (the "March Order"), which provides for the transition to a multi-retailer model from a single-retailer model effective February 19, 2004, to further reflect Chapter 686 of the Laws of 2002. In compliance with Ordering Clause 1 of the December 5<sup>th</sup> Order, RG&E has modified its tariffs, PSC No. 16 – Gas and PSC No. 19 – Electricity, to reflect implementation of pro-ration of payments on consolidated bills produced

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by RG&E, and has enclosed a copy of RG&E's revised operating procedures regarding ESCO Termination of Commodity Service and Deferred Payment Agreements (see Appendix 2). Upon consultation with Commission staff, RG&E did not file this modification on February 2, 2004, because the tariff in effect at that time was the single-retailer model, which was not impacted by Ordering Clause 1 of the December 5<sup>th</sup> Order.

In compliance with Ordering Clause 3 of the Extension Order and Ordering Clause 1 of the December 5<sup>th</sup> Order, RG&E E has modified its tariffs, P.S.C. No. 16 – Gas and P.S.C. No. 19 – Electricity, to revise the rules for ESCO-initiated termination of commodity service to customers receiving a consolidated bill, and has enclosed a revised operating procedure associated with an ESCO request for RG&E to calculate the amount a customer would have paid if the entire utility service has been obtained from RG&E (See Appendix 3).

According to Ordering Clause No. 3 of the November Order, the requirement of Section 66(12)(b) of the Public Service Law as to newspaper publication of the proposed tariff amendments has been waived.

In accordance with Ordering Clause 3 of the Commission's Extension Order, RG&E is serving a copy of today's filing on all parties.

Other elements of this filing include:

Relative to the Multi-Retailer Filing, RG&E is filing Supplements to cancel its tariffs P.S.C. No. 17 – Gas, Schedule for Gas Distribution Service and P.S.C. No. 20 – Electricity, Schedule for Electric Distribution Service, effective February 19, 2004.

Two statements that currently exist only in P.S.C. No. 17 – Gas are being moved to P.S.C. No. 16 – Gas. These statements are the Large General Service Distribution Rate (LGDR) Statement and the Small General Service Distribution Rate (SGDR) Statement, and are filed herewith to P.S.C. No. 16 – Gas, and have been renamed the Large Transportation Service Rate (LTSR) Statement and the Small Transportation Service Rate (STSR) Statement, respectively.

One service classification that currently exists only in P.S.C. No. 17 – Gas is being moved to P.S.C. No. 16 – Gas. Relative to RG&E's December 22, 2003 Tariff Filing (the "Distributed Generation Filing") in compliance with the Commission's <u>Order Proceeding on Motion of the Commission to Establish Gas Transportation Rates for Distributed Generation Technologies</u>, issued and effective December 3, 2003 in Case No. 02-M-0515 (the "December 3 Order") effective January 1, 2004, and the Multi-Retailer Filing, RG&E is filing a

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revision to add S.C. No.7 – Firm Gas Transportation Service for Distributed Generation Facilities < 50 MW to P.S.C. No. 16 - Gas.

Relative to RG&E's November 3, 2003 Tariff Filing (the "November Standby Filing") in Case No. 02-E-0551 - Rochester Gas and Electric Corporation's Compliance Tariff Filing to Establish New Rates, Terms and Conditions for the Provision of Electric standby service effective February 1, 2004, and the Multi-Retailer Filing, RG&E is filing a revision to its Service Classification No.14 Standby Service at Leaf No.246 in its P.S.C. No. 19 – Electricity tariff, to reflect the rate choices for electricity supply available in the multi-retailer model effective February 19, 2004. A customer may choose either an energy services company (Retail Access Rate) or RG&E (Non-Retail Access Rate) for its electricity supply. Upon consultation with Commission staff, RG&E did not file this revision previously, because S.C. No.14 Standby Service and the multi-retailer model were not in effect at that time.

Should you have any questions concerning this filing, please call Curt Roney at (585)724-8252, or me at (585)771-4692.

Very truly yours,

Mark O. Marini Manager – Regulatory Affairs

Encs.

cc: All active parties

## **Index for UBP Tariffs**

Effective February 19, 2004									
	PSC	Leaf No.	Ver.	Description					
16	Gas	2	3	Table of Contents					
16	Gas	4.1	1	Table of Contents					
16	Gas	7	2	Definitions - Intro					
16	Gas	8	2	Definitions - Delete two					
16	Gas	9	3	Definitions - Delete two					
16	Gas	10	3	Definitions - Delete three, clarify one					
16	Gas	10.1	1	Definitions - Delete three, clarify one					
16	Gas	10.2	1	Definitions - Delete one, clarify one					
16	Gas	110.1	1	ESCO HEFPA - Clarify Suspension					
16	Gas	110.2	1	ESCO HEFPA - Clarify Suspension					
16	Gas	127.1	1	Delete Commencement					
16	Gas	127.2	1	Clarify Customer Information					
16	Gas	127.3	1	Clarify Customer Information, Delete Sign-up					
16	Gas	127.4	1	Delete Sign-up, Clarify Changes					
16	Gas	127.5	1	Delete leaf					
16	Gas	127.6	1	Delete leaf					
16	Gas	127.7	1	Delete Switching, Clarify Metering					
16	Gas	127.8	1	Clarify Metering					
16	Gas	127.9	1	Clarify Eligibility, Clarify ESCO/DC					
16	Gas	127.10	1	Clarify ESCO/DC, Delete Sign-up, Changes, Reports					
16	Gas	127.11	1	Delete Eligibility, Clarify Creditworthiness					
16	Gas	127.12	1	Delete leaf					
16	Gas	127.13	1	Delete leaf					
16	Gas	127.14	1	Delete leaf					
16	Gas	127.15	1	Delete Prepayments, Clarify Billing					
16	Gas	127.16	1	Delete leaf					
16	Gas	127.17	1	Delete Overpayments, Other Charges					
16	Gas	127.22	1	Delete Discontinuance					
16	Gas	127.23	1	Delete leaf					
16	Gas	127.24	1	Delete leaf					
16	Gas	127.25	1	Delete leaf					
16	Gas	127.26	1	Delete leaf					
16	Gas	127.27	1	Delete 3. If the initial to 7. If a dispute					
16	Gas	127.29	2	ESCO HEFPA - Add Pro-ration					
16	Gas	127.30	1	DG - Add reference to SC 7					
16	Gas	127.31	1	DG - Add reference to SC 7					
16	Gas	127.32	1	DG - Add reference to SC 7					
16	Gas	127.33	1	DG - Add reference to SC 7					
16	Gas	127.34	1	DG - Add reference to SC 7					
16	Gas	127.35	1	DG - Add reference to SC 7					
16	Gas	127.36	1	DG - Add reference to SC 7					
16	Gas	127.37	1	DG - Add reference to SC 7					
16	Gas	127.38	1	DG - Add reference to SC 7					
16	Gas	127.39	1	DG - Add reference to SC 7					
16	Gas	127.40	1	DG - Add reference to SC 7					
16	Gas	127.41	1	DG - Add reference to SC 7					

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P S C		Leaf No.	Ver.	Description					
16	Gas	127.42	1	DG - Add reference to SC 7					
16	Gas	127.43	1	DG - Add reference to SC 7					
16	Gas	133.13	1	DG - Move SC 10 to 148 - Delete leaf					
16	Gas	133.14	1	DG - Move SC 10 to 149 - Delete leaf					
16	Gas	133.15	1	DG - Move SC 10 to 150 - Delete leaf					
16	Gas	133.16	1	DG - Move SC 10 to 151 - Delete leaf					
16	Gas	136	0	DG - Move SC 7 to PSC 16					
16	Gas	137	0	DG - Move SC 7 to PSC 16					
16	Gas	138	0	DG - Move SC 7 to PSC 16					
16	Gas	139	0	DG - Move SC 7 to PSC 16					
16	Gas	140	0	DG - Move SC 7 to PSC 16					
16	Gas	141	0	DG - Move SC 7 to PSC 16					
16	Gas	142	0	DG - Move SC 7 to PSC 16					
16	Gas	143	0	DG - Move SC 7 to PSC 16					
16	Gas	144	0	DG - Move SC 7 to PSC 16					
16	Gas	144.1	0	DG - Move SC 7 to PSC 16					
16	Gas	144.2	0	DG - Move SC 7 to PSC 16					
16	Gas	144.3	0	DG - Move SC 7 to PSC 16					
16	Gas	144.4	0	DG - Move SC 7 to PSC 16					
16	Gas	144.5	0	DG - Move SC 7 to PSC 16					
16	Gas	145	0	DG - Move SC 7 to PSC 16					
16	Gas	146	0	DG - Move SC 7 to PSC 16					
16	Gas	147	0	DG - Move SC 7 to PSC 16					
16	Gas	148	0	DG - Move SC 10 from 133.13					
16	Gas	149	0	DG - Move SC 10 from 133.14					
16	Gas	150	0	DG - Move SC 10 from 133.15					
16	Gas	151	0	DG - Move SC 10 from 133.16					
16	Gas	UBP	1	UBP Addendum					
16	Gas	ESCO - HEFPA	X	ESCO HEFPA - Operating Procedure - Payment Allocation					
16	Gas	ESCO - HEFPA	X	ESCO HEFPA - Operating Procedure - TOC					
16	Gas	ESCO - HEFPA	X	ESCO HEFPA - Operating Procedure - DPA					
16	Gas	ESCO - HEFPA	X	ESCO HEFPA - Operating Procedure - Calc Dist Util Bill					
16	Gas	LTSR	1	SC 3 Rate Statement - Move to PSC 16					
16	Gas	STSR	1	SC 5 Rate Statement - Move to PSC 16					
17	Gas	CANC	1	Cancellation Supplement - PSC 17					
19	Electricity	4.1	1	Table of Contents					
19	Electricity	7	2	Definitions - Intro					
19	Electricity	8	2	Definitions - Delete one, clarify one					
19	Electricity	9	2	Definitions - Delete two					
19	Electricity	10	2	Definitions - Delete two					
19	Electricity	10.1	1	Definitions - Delete three, clarify one					
19	Electricity	10.4	1	Definitions - Delete four, clarify one					
19	Electricity	10.5	1	Definitions - Clarify one					
19	Electricity	102.1	1	ESCO HEFPA - Clarify Suspension					
19	Electricity	102.2	1	ESCO HEFPA - Clarify Suspension					
19	Electricity	160.1	1	Delete Commencement					
19	Electricity	160.2	1	Clarify Customer Information					

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# **Index for UBP Tariffs**

PSC Lea		Leaf No.	Ver.	Description					
19	9 Electricity 160.3			Clarify Customer Information					
19	Electricity	160.4	1	Delete Sign-up, Clarify Changes					
19	Electricity	160.5	1	Delete ii. RG&E to (e) Required					
19	Electricity	160.6	1	Delete When a process to (j) Switching Fees iv, Clarify Spe					
19	Electricity	160.7	1	Clarify Metering					
19	Electricity	160.8	1	Renumber two					
19	Electricity	160.9	1	Clarify Eligibility, Clarify ESCO/DC					
19	Electricity	160.10	1	Delete iii. Adhere to (g) The ESCO/DC, Clarify (h) ESCOs					
19	Electricity	160.11	1	Delete Sign-up to Eligibility, Delete Creditworthiness					
19	Electricity								
19	Electricity	160.13	1	Delete leaf					
19	Electricity	160.14	1	Delete leaf					
19	Electricity	160.15	1	Delete The ESCO to Consistent with, Clarify Billing					
19	Electricity	160.16	1	Delete leaf					
19	Electricity	160.17	1	Delete Overpayments, Other Charges					
19	Electricity	Electricity 160.18		Delete Discontinuance					
19	Electricity	Electricity 160.19		Delete leaf					
19	Electricity	Electricity 160.20		Delete leaf					
19	Electricity	Electricity 160.21		Delete leaf					
19	Electricity	Electricity 160.22		Delete leaf					
19	Electricity	· ·		Delete 3. If the initial to 7. If a dispute					
19	Electricity	160.25	2	ESCO HEFPA - Add Pro-ration					
19	Electricity	246	1	Standby - Electricity Supply Rate Choices					
19	Electricity	246.1	0	Standby - Electricity Supply Rate Choices					
19	Electricity	UBP	1	UBP Addendum					
19	Electricity	ESCO - HEFPA	X	ESCO HEFPA - Operating Procedure - Payment Allocation					
19	Electricity	Electricity ESCO - HEFPA x		ESCO HEFPA - Operating Procedure - TOC					
19	Electricity	Electricity ESCO - HEFPA x		ESCO HEFPA - Operating Procedure - DPA					
19	Electricity	ESCO - HEFPA	X	ESCO HEFPA - Operating Procedure - Calc Dist Util Bill					
20	Electricity	CANC	1	Cancellation Supplement - PSC 20					
T:\Teams	T:\Teams\Regulatory Affairs\Common\Roney\UBP\[Index for UBP Tariffs Eff. 02-19-04.xls]Index for UBP Tariffs								

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Received: 2/18/2004

Instructions:

# DRAFT- ESCO Termination of Commodity Service (TOC) and Deferred Payment Agreement (DPA) Payment Allocation Information

Appendix 2 February 19, 2004

ESCO HEFPA 02-18-04 Filing RGE FORM TOC DPA attach a.xls

By submitting this form, the ESCO requests RG&E to allocate payments to termination of commodity service notice amounts and\or deferred payment agreements according to UBP rules as requested for the customers listed below. ESCO represents that they have complied with all HEFPA requirements in providing the notice and\or agreement for each of such customers. ESCO agrees to immediately notify RG&E of any changes to the information given below by sending a new form with the new information. ESCO understands that all information in each category requested below must be provided before payments are allocated.

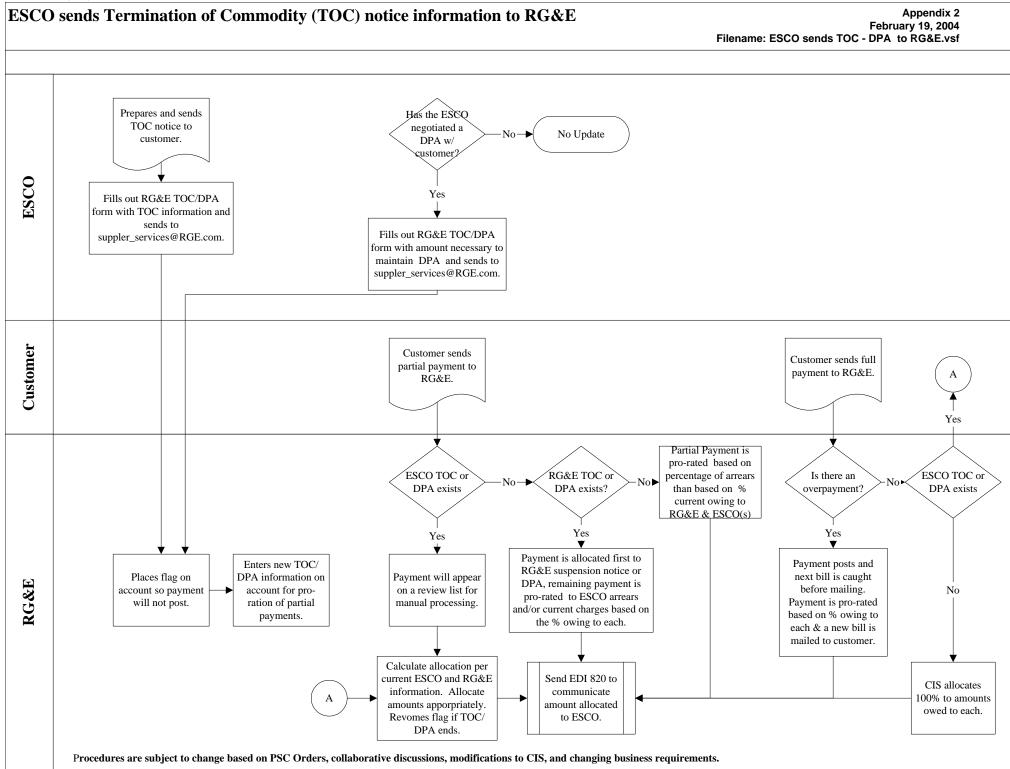
	er_Services@RGE.c		OW.							
ES	SCO Name:		4	Date:  Contact:	l 1	Phone:		⊐		
	ESCO Term	ination of	Commodity	Notice Information				ES	CO DPA Info	ormation
Customer (Billing) Name	RG&E Account #	Service Type (E/G)	Preparation	Amount on notice avoid termination of commodity(\$)	Minimum Amount (\$) to Maintain ESCO Commodity		DP Effec Da	A tive	Amount Due on DPA *	
					1					

#### \* Amount Due on DPA can be one of three amounts:

- 1) DPA Down Payment Amount (New DPA)
- 2) Initial amount to allocate for re-negotiated DPA

Fill in all represented information in the columns halour

3) Amount to bring DPA up to date, after ESCO has terminated supply service to customer.(It is necessary for monthly updates for proper payment allocation.)



### ESCO sends Deferred Payment Agreement Information to RG&E (DPA)

Appendix 2 February 19, 2004 Filename: ESCO sends TOC - DPA to RG&E.vsf

